Registered Rep.

THE TOP 100 INDEPENDENT ADVISORS IN AMERICA

METHODOLOGY:
The annual Registered Rep. Top 100 IBD financial advisor list was spearheaded this year by Discovery, an online database of financial service intermediaries published by the Financial Information Group of Shrewsburry, N.J. Discovery has created a proprietary methodology for ranking FINRA registered representatives. In this list, the advisors were ranked by assets under management using the Discovery-RR database system. Discovery maintains data on the registered rep universe then validates the ranking via direct survey. Some advisors from past years are not on this year's list.

	Name	Firm	City	AUM (in millions)	Yrs in the Biz	Business Description/Speciality
1.	**Ronald Carson**	Carson Wealth Management Group (LPL Financial)	Omaha, NE	$2,000	31	Investment management, retirement, planning estate and tax planning services.
5.	**Bradley and Cleves Delp**	The Delp Company (LPL Financial)	Maumee, OH	$1,000	15	Ultra-HNW investment advisory, estate planning and risk management.
6.	**Susan Kaplan**	Kaplan Financial Services (LPL Financial)	Newton Lower Falls, MA	$750	26	Asset management and comprehensive financial planning.
8.	**Earl Winthrop**	LPL Financial	Avon, CT	$705	24	Independent comprehensive wealth management services.
11.	**James Bashaw**	James E. Bashaw and Co. (LPL Financial)	Houston, TX	$650	25	Holistic private wealth advisory for families, small businesses and non-profit organizations.
16.	**Garrett Ahrens**	Ahrens Investment Partners (LPL Financial)	Lafayette, LA	$550	20	Financial asset allocation.
18.	**Laila Marshall-Pence**	Pence Wealth Management (LPL Financial)	Newport Beach, CA	$500	29	Full spectrum financial planning and wealth management for individual investors.
26.	**Roy Williams**	Prestige Wealth Management (LPL Financial)	Pennington, NJ	$441	27	Comprehensive wealth management, providing customized planning solutions to HNW indivduals.
30.	**Herbert Gilbert**	Gilbert Advanced Asset Mangement (LPL Financial)	Johnson City, TN	$400	21	Advanced asset managemt for retirees and pre-retirees.
31.	**George Dorvillier**	LPL Financial	La Jolla, CA	$400	23	Helping clients reach financial independence.
32.	**David Millican**	Atlanta Capital Group (LPL Financial)	Atlanta, GA	$385	14	Boutique wealth management focusing on HNW families.
33.	**Steven Booren**	Capital Consulting (LPL Financial)	Englewood, CO	$375	31	Unbiased, independent investment advice.
38.	**Kerrick Bubb**	KWB & Associates (LPL Financial)	Redlands, CA	$351	22	Comprehensive wealth management with an emphasis on retirement and estate planning.
41.	**James Cox**	Harris Financial Group (LPL Financial)	Colonial Heights, VA	$350	13	Retirement planning.
43.	**Steven Novick**	LPL Financial	New Canaan, CT	$340	14	N/A
44.	**Janice Hobbs**	JanHobbs Financial Group (LPL Financial)	Orange, CA	$338	25	Financial planning and wealth management.

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45.	**Carole Ford**	Ford Financial Group (LPL Financial)	Fresno, CA	$338	26	Financial planning and portfolio management for women and catastrophically injured clients.
47.	**Deborah Danielson**	Danielson Financial Group (LPL Financial)	Las Vegas, NV	$310	28	Financial planning, investment management and tax-sensitive portfolios for HNW investors.
56.	**Robert Kantor**	XML Financial Group (LPL Financial)	Rockville. MD	$280	46	Financial planning.
66.	**Bennett Marks**	Marks Group Wealth Management (LPL Financial)	Hopkins, MN	$235	27	Provide HNW clients with personalized service and investment decisions on a local level.
69.	**Carol Rogers**	Rogers & Company Wealth Management (LPL Financial)	Saint Louis, MO	$230	33	Certified Private Wealth Manager providing comprehensive planning and wealth management solutions to HNW families and individuals.
73.	**David Workman**	LPL Financial	Logansport, IN	$223	22	Managing retirement plans.
75.	**Patricia Meidell**	American Retirement Planners (LPL Financial)	Reno, NV	$213	36	Providing financial advice and individualized solutions with the goal of enabling our clients to attain their lifetime goals and aspirations.
77.	**Stephen Wedel**	Four Seasons Wealth Management (LPL Financial)	Saint Louis, MO	$210	26	Holistic fee-based financial planning with an emphasis on retirement planning and capital preservation for executives, business owners and HNW individuals.
78.	**Russell Cesari**	Northwest Financial (LPL Financial)	Herndon, VA	$210	10	Wealth management and comprehensive financial planning.
79.	**Mark Brown**	Brown & Tedstrom (LPL Financial)	Denver, CO	$205	26	Comprehensive wealth management for successful entrepreneurs and business owners.
84.	**David Armstrong**	Monument Wealth Management (LPL Financial)	Alexandria, VA	$200	10	Full-service financial planning and discretionary asset management using ETFs and individual securities.
85.	**Wayne Von Borstel**	Von Borstel & Associates (LPL Financial)	The Dalles, OR	$197	24	Wealth management.
90.	**Michael Boone**	MW Boone & Associates (LPL Financial)	Bellevue, WA	$179	24	Comprehensive financial planning.
95.	**John Ohmer**	YBR Financial Advisors (LPL Financial)	San Francisco, CA	$157	22	Working with affluent individuals as they approach and enter financial independence.

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