

THE TOP 100 INDEPENDENT ADVISORS IN AMERICA

Name	Firm (b/d)	City	Est. AUM in \$MM	Yrs. In Biz	Business Description/Specialty
2 Ron Carson	Carson Wealth Management Group (LPL Financial)	Omaha, Neb.	2,050	25	Financial planning, wealth planning, tax planning and risk management.
4 Cleves R. Delp, Bradley Delp	The Delp Company (LPL Financial)	Maumee, Ohio	1,500	14	Ultra HNW investment advisory, estate planning and risk management.
7 Susan C. Kaplan	Kaplan Financial Services (LPL Financial)	Newton, Mass.	850	25	Asset management and comprehensive financial planning.
10 Charles Zhang	Zhang Financial (LPL Financial)	Portage, Mich.	800	17	Asset management and comprehensive financial planning.
16 Earl B. & Mark Winthrop	LPL Financial	Avon, Conn.	670	23	Independent comprehensive wealth management services.
17 Robert Fragasso	Fragasso Financial Advisors (LPL Financial)	Pittsburgh, Pa.	635	37	Financial planning and wealth management.
18 Todd Feltz	Feltz WealthPLAN (LPL Financial)	Omaha, Neb.	610	24	Managed accounts; asset allocation and preservation for retirement income.
19 G. Michael Dorvillier & Shari D. Miller	LPL Financial	La Jolla, Calif.	600	22	Helping clients reach financial independence.
37 James A. Cox, III	Harris Financial Group (LPL Financial)	Colonial Heights, Va.	415	12	Retirement planning.
39 Michael Gilbert	Gilbert Advanced Asset Management (LPL Financial)	Johnson City, Tenn.	400	20	Retirement income management and wealth preservation.
41 Laila Marshall-Pence	Pence Wealth Management (LPL Financial)	Newport Beach, Calif.	400	28	Full spectrum financial planning and wealth management for individual investors.
44 David Peterson	Peak Capital Investment Services (LPL Financial)	Highlands Ranch, Colo.	400	14	Retirement planning and HNW individuals.
48 John Castino, Bruce Santjer & Nick Beissel	Wealth Enhancement Group (LPL Financial)	Plymouth, Minn.	373	21	Wealth management services.
49 Janice K. Hobbs	Jan Hobbs Financial Group (LPL Financial)	Orange, Calif.	370	24	Financial planning and wealth management.
50 Deborah L. Danielson	Danielson Financial Group (LPL Financial)	Las Vegas, Nev.	364	27	Financial planning, investment management and tax-sensitive portfolios for HNW investors.

Definitions & Methodology: Advisors are ranked strictly by assets under management, though 60 percent of those assets must belong to retail, as opposed to institutional, clients. If the advisor is a member of a team, he must be the founder or leader of that team.

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58 Carole R. Ford	Ford Financial Group (LPL Financial)	Fresno, Calif.	330	25	Financial planning and portfolio management for women and catastrophically injured clients.
60 Sandeep Varma	ATS Advanced Trustee Strategies (LPL Financial)	San Diego, Calif.	328	19	Advanced estate planning.
64 Steve Booren	Capital Consulting (LPL Financial)	Greenwood Village, Colo.	310	30	Unbiased, independent investment advice.
66 Daniel Gensler	The Gensler Group (LPL Financial)	Coronado, Calif.	307	24	Life planning and passive investments.
70 Russell Cesari	Northwest Financial (LPL Financial)	Herndon, Va.	300	9	Wealth management and comprehensive financial planning.
72 Bill Stevens	Stevens Foster Financial Advisors (LPL Financial)	Bloomington, Minn.	298	27	Comprehensive wealth management and tax planning, specializing in corporate executives.
74 Lawrence R. Lindsley	Summit Planning Group (LPL Financial)	Green Bay, Wis.	284	21	Comprehensive wealth management and retirement planning.
78 G. Andrew Ahrens	Ahrens Investment Partners (LPL Financial)	Lafayette, La.	275	19	Financial asset allocation.
85 David J. Workman	LPL Financial	Logansport, Ind.	260	21	Managing retirement plans.
87 Mark R. Brown	Brown & Tedstrom (LPL Financial)	Denver, Colo.	254	25	Comprehensive wealth management for successful entrepreneurs and business owners.
88 Robert D. Kantor	XML Financial Group (LPL Financial)	Rockville, Md.	252	45	Financial planning.
92 John P. Keeney	Keeney Financial Group (LPL Financial)	Columbia, Md.	250	20	Comprehensive financial planning.
93 J. Scott McKee	The Quality Group (LPL Financial)	Eugene, Ore.	250	11	Income investing for retirement, with a client asset minimum of \$1.5 million.
96 Wayne von Borstel	von Borstel & Associates (LPL Financial)	The Dalles, Ore.	245	23	Wealth management.

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