

Outside Investment Accounts

Below is a list of all potential fees that may be applicable from LPL Financial. These fees are only applied when the transaction or request is processed through LPL Financial. These fees do not apply if the purchase or sale is made directly through the mutual fund or insurance company via application.

Item	Fee	Frequency
Express Mail	\$15	Per Shipment (unless otherwise noted)
Extension for Money or Securities Received Past Settlement	\$15	Per Event
Interest Charged for Money or Securities Received Past Settlement	Cash Due Interest Rate	
Mutual Funds:		
Load Fund Plus / Participating / Non-Participating Redemption	\$15 + confirm fee	Per Transaction
Load Fund Plus / Participating / Non-Participating Purchase	confirm fee	Per Transaction
No Load Fund Purchase & Redemptions	\$40 + confirm fee	Per Transaction
No Load Fund Exchange	\$80 + confirm fee	Per Transaction
Physical Mutual Fund Redemption	\$35	Per Position
Postage/Handling of Confirmation	\$5 - \$10	Per Confirm
Replacement Statements or 1099s	\$5	Per Document
Wired Funds	\$25	Per Wire

Please note, commissions and fees that may be charged by third parties, such as investment sponsors, money managers or custodians, are not included. Please refer to the fund prospectus for further disclosure. For a full list of LPL Financial fees, please contact your representative/advisor.

LPL Financial reserves the right to change the fees it charges upon 30 days notice.

Make Checks Payable as Follows:

John Doe 123 Main St. Your Town, USA	001
Date: <u>12/1/10</u>	
PAY TO THE ORDER OF: <u>LPL Financial</u>	\$ <u>600.00</u>
<u>six hundred dollars</u>	DOLLARS
Notes: <u>Account Number</u>	Sign: <u>John Doe</u>