



Member FINRA / SIPC
 9785 Towne Centre Drive
 San Diego, CA 92121-1968
 Federal ID No: 95-2834236

Recipient's
 Tax Identification Number:
999-99-9999

Account Number: 1234-5678

Corrected on MM/DD/YY

TAX INFORMATION STATEMENT
 Tax Year 2008 Copy B For Recipient

Department of the Treasury - Internal Revenue Service
 (keep for your records)

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Your Financial Advisor

1AC 2 D 1 A 15789 * C 009
 STATE LINE 1
 STATE LINE 2
 JOHN REPRESENTATIVE
 9785 TOWNE CENTRE DRIVE
 ADDRESS LINE
 SAN DIEGO, CA 92121-1968
 (858) 450-9606
 ID: 5APA

1AC 2 D 1 A 15789 * C 009



JOHN DOE
 1234 ANYSTREET
 ANYTOWN USA 12345-1234
 LINE 4 XXXXXXXXXX
 LINE 5 XXXXXXXXXX
 LINE 6 XXXXXXXXXX

1099 - DIV

| IRS BOX | FORM 1099-DIV - DIVIDENDS AND DISTRIBUTIONS | OMB #1545-0110 |
|---------------------------------|---|----------------|
| TOTALS FOR TAX YEAR 2008 | | |
| 1A. | TOTAL ORDINARY DIVIDENDS | \$495.44 |
| 1B. | QUALIFIED DIVIDENDS | \$236.06 |
| 2A. | TOTAL CAPITAL GAIN DISTRIBUTION | \$3,458.82 |
| 2B. | UNRECAP. SEC. 1250 GAIN | \$1.02 |
| 2C. | SECTION 1202 GAIN | \$1.02 |
| 2D. | COLLECTIBLES (28%) GAIN | \$0.00 |
| 3. | NONDIVIDEND DISTRIBUTIONS | \$0.00 |
| 4. | FEDERAL INCOME TAX WITHHELD | \$0.00 |
| 5. | INVESTMENT EXPENSES | \$0.00 |
| 6. | FOREIGN TAX PAID | \$12.72 |
| 7. | FOREIGN COUNTRY OR U.S. POSSESSION | VARIOUS |
| 8. | CASH LIQUIDATION DISTRIBUTIONS | \$0.00 |
| 9. | NONCASH LIQUIDATION DISTRIBUTIONS | \$0.00 |

Assembly Bar Code

DIVIDENDS AND DISTRIBUTIONS
DESCRIPTIONS

| DESCRIPTIONS | DATE | EX-DIVIDEND DATE | ACTIVITY | AMOUNT | IRS BOX | CTRY | UNDIST INC* |
|----------------------------|----------|------------------|---------------|-------------------|---------|------|-------------|
| AMCAP FUND | MM/DD/YY | | DIVIDEND | \$250.00 | 1A | | |
| AMCAP FUND | MM/DD/YY | | DIVIDEND | \$9.38 | 1A | | |
| ANNALY MTGGMGT | MM/DD/YY | MM/DD/YY | UAL DIVIDEND | \$69.93 | 1B | | |
| CAP INCOME BUILDER FUND | MM/DD/YY | MM/DD/YY | QUAL DIVIDEND | \$14.41 | 1B | | |
| HOME DEPOT INC | MM/DD/YY | MM/DD/YY | QUAL DIVIDEND | \$51.00 | 1B | | |
| NEW PERSPECTIVE FUND | MM/DD/YY | MM/DD/YY | QUAL DIVIDEND | \$100.72 | 1B | | |
| TOTAL DIVIDENDS | | | | \$495.44 | | | |
| AMCAP FUND | MM/DD/YY | | CAPITAL GAIN | \$1,728.39 | 2A | | |
| NEW PERSPECTIVE FUND | MM/DD/YY | | CAPITAL GAIN | \$1,728.39 | 2A | | |
| VAN KAMPN COMSTOCK FD C | MM/DD/YY | | 1250 GAIN | \$1.02 | 2B | | |
| VAN KAMPN COMSTOCK FD C | MM/DD/YY | | 1202 GAIN | \$1.02 | 2C | | |
| TOTAL CAPITAL GAINS | | | | \$3,458.82 | | | |
| NEW PERSPECTIVE FUND | MM/DD/YY | | TAX AT SOURCE | \$12.72 | 6 | BD | UND |
| TOTAL FOREIGN TAX | | | | \$12.72 | | | |

*UND: This Unit Investment Trust had undistributed income which adjusts cash income to accrual basis for tax reporting.

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1099 - INT

| IRS BOX | FORM 1099-INT - INTEREST INCOME TOTALS FOR TAX YEAR 2008 | OMB #1545-0112 |
|---------|---|----------------|
| 1. | INTEREST INCOME | \$8,417.50 |
| 2. | EARLY WITHDRAWAL PENALTY | \$0.00 |
| 3. | INTEREST ON U.S. SAVINGS BONDS & TREAS. OBLIGATIONS | \$1,591.72 |
| 4. | FEDERAL INCOME TAX WITHHELD | \$0.00 |
| 5. | INVESTMENT EXPENSES | \$0.00 |
| 6. | FOREIGN TAX PAID | \$0.00 |
| 7. | FOREIGN COUNTRY OR U.S. POSSESSION | N/A |
| 8. | TAX-EXEMPT INTEREST | \$801.24 |
| 9. | SPECIFIED PRIVATE ACTIVITY BOND INTEREST | \$314.81 |

THIS SECTION MAY CONTAIN NON-FEDERALLY REPORTED INCOME IN BOXES 8 AND 9, WHICH MAY BE USEFUL IN HELPING YOU PREPARE YOUR TAX RETURN. THIS INCOME MAY OR MAY NOT BE TAXABLE AT THE STATE OR LOCAL LEVEL. PLEASE REVIEW THIS INFORMATION WITH YOUR TAX ADVISOR.

**INTEREST INCOME
 DESCRIPTIONS**

| DESCRIPTIONS | DATE | ACTIVITY | AMOUNT | IRS BOX | CTRY | UNDIST INC* |
|---|----------|--------------------------------|-------------------|------------|------|----------------|
| FED NATL MTG ASSN MED TER | MM/DD/YY | INTEREST | \$767.50 | 1 | | |
| P-B CMO TR 89 SER 11 | MM/DD/YY | INTEREST | \$675.00 | 1 | | |
| TOYOTA MOTOR CORP | MM/DD/YY | INTEREST | \$6,000.00 | 1 | | |
| CREDIT INTEREST | MM/DD/YY | INTEREST | \$975.00 | 1 | | |
| TOTAL INTEREST | | | \$8,417.50 | | | |
| UNITED STATES TREASURY BILLS 0.000 | MM/DD/YY | INTEREST | \$609.02 | 3 | | |
| UNITED STATES TREASURY BILLS 0.000 | MM/DD/YY | INTEREST | \$982.70 | 3 | | |
| TOTAL INTEREST ON U.S. TREASURY | | | \$1,591.72 | | | |
| DENVER CO 5.0 120128 CUSIP: 249189AT8 QTY: 5,000.00 | MM/DD/YY | EXEMPT ACCRUED INTEREST | \$29.86 | 8 | | |
| FRANKLIN FED TAX FREE A CUSIP: 353519101 QTY: 2,049.69 | MM/DD/YY | DIVIDENDS EXEMPT FROM TAXES | \$91.01 | 8 | | |
| FRANKLIN FED TAX FREE A CUSIP: 353519101 QTY: 2,049.69 | MM/DD/YY | DIVIDENDS SBJ TO ALT MIN TAX | \$5.33 | 9 | | |
| MATAGORDA TX5.125 110128 CUSIP: 57652TAV9 QTY: 5,000.00 | MM/DD/YY | EXEMPT ACCR INT SUBJECT TO AMT | \$46.98 | 9 | | |
| MNNEAPLIS MN 5.25 010111 CUSIP: 603827EU6 QTY: 10,000.00 | MM/DD/YY | INTEREST SBJ TO ALT MIN TAX | \$262.50 | 9 | | |
| NUV TX EX UT NATL 346 MO CUSIP: 6710A5790 QTY: 509.00 | MM/DD/YY | INTEREST FROM MUNICIPAL BONDS | \$221.67 | 8 | | |
| NUV TX FR INSD NTL 349MO CUSIP: 6710A7101 QTY: 340.00 | MM/DD/YY | TAX EXEMPT INTEREST | \$143.89 | 8 | | |
| TOTAL EXEMPT INTEREST | | | \$801.24 | | | |

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1099 - B

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| IRS BOX | FORM 1099-B - PROCEEDS FROM BROKER & BARTER EXCHANGES | OMB #1545-0715 |
|---------------------------------|--|----------------|
| TOTALS FOR TAX YEAR 2008 | | |
| 2. | GROSS PROCEEDS LESS COMMISSIONS ON STOCKS, BONDS ETC | \$228,827.84 |
| 4. | FEDERAL INCOME TAX WITHHELD | \$0.00 |

PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

| <u>DESCRIPTION</u> <u>(BOX 7)</u> | <u>DATE</u> <u>(BOX 1A)</u> | <u>ACTIVITY</u> | <u>QUANTITY</u> | <u>PRICE</u> | <u>AMOUNT</u> <u>(BOX 2)</u> |
|--|--------------------------------|-----------------|-----------------|--------------|---------------------------------|
| CAPITAL INCOME BUILDER FUND CUSIP: 140193103 | MM/DD/YY | REDEMPTION | 2,424.71 | \$10.0000 | \$24,247.10 |
| INTL BUSINESS MACHS CORP CUSIP: 459200101 | MM/DD/YY | SALE | 1,456.22 | \$10.0000 | \$14,562.20 |
| TOTAL PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (BOX 2) | | | | | \$38,809.30 |

**PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS
 (CHANGES IN CORPORATE CONTROL OR CAPITAL STRUCTURE ONLY)**

| <u>DESCRIPTION</u> <u>(BOX 7)</u> | <u>DATE</u> <u>(BOX 1A)</u> | <u>ACTIVITY</u> | <u>NO. OF</u> <u>SHARES</u> <u>RECEIVED</u> <u>(BOX 5)</u> | <u>PRICE</u> | <u>FMV*</u> <u>(BOX 2)</u> | <u>CLASSES</u> <u>OF STOCK</u> <u>RECEIVED</u> <u>(BOX 6)</u> | <u>NOTES</u> <u>(BOX 12)</u> |
|---|--------------------------------|------------------|---|--------------|-------------------------------|--|---------------------------------|
| XYZ CORP., ADDRESS, EIN CUSIP: 123456789 | MM/DD/YY | RECAPITALIZATION | 99,999.00 | \$1.4552 | \$145,518.54 | Common | 1 |
| ABC CORP., ADDRESS, EIN CUSIP: 234567890 | MM/DD/YY | RECAPITALIZATION | 4,450.00 | \$10.0000 | \$44,500.00 | Preferred | 1 |
| TOTAL PROCEEDS FROM CHANGES IN CONTROL/CAPITAL STRUCTURE (BOX 2) | | | | | \$190,018.54 | | |

* Fair Market Value (FMV) of new common received

¹ A loss may not be taken on any cash and stock received from this merger

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1099 – OID

| IRS BOX | FORM 1099-OID - ORIGINAL ISSUE DISCOUNT | OMB #1545-0117 |
|--------------------------|---|----------------|
| TOTALS FOR TAX YEAR 2008 | | |
| 1. | ORIGINAL ISSUE DISCOUNT FOR 2008* | \$1,196.22 |
| 2. | OTHER PERIODIC INTEREST | \$1,426.74 |
| 4. | FEDERAL INCOME TAX WITHHELD | \$0.00 |
| 6. | ORIGINAL ISSUE DISCOUNT ON U.S. TREASURY OBLIGATIONS* | \$10,376.00 |

This may not be the correct figure to report on your income tax return. See 1099 instructions on back of page 1.

ORIGINAL ISSUE DISCOUNT

| DESCRIPTIONS | DATE | ACTIVITY | AMOUNT | IRS BOX | COUNTRY |
|---|----------|-----------------|--------------------|---------|---------|
| UBS PNEWBBR PTHFN T&G 18 | MM/DD/YY | LONG TERM OID | \$1,196.22 | 1 | |
| TOTAL ORIGINAL ISSUE DISCOUNT FOR 2008 (BOX 1) | | | \$1,196.22 | | |
| AON CAP A 8.205 010127 | MM/DD/YY | OID INTEREST | \$1,025.63 | 2 | |
| LYONDELL CHEM 9.5 121508 | MM/DD/YY | OID ACCRUED INT | \$401.11 | 2 | |
| TOTAL OTHER PERIODIC INTEREST (BOX 2) | | | \$1,426.74 | | |
| CAT SER T 0.0 111507 | MM/DD/YY | OID U.S. TREAS | \$10,376.00 | 6 | |
| TOTAL OID ON U.S. TREASURY OBLIGATIONS (BOX 6) | | | \$10,376.00 | | |

1099 – MISC

| IRS BOX | FORM 1099-MISC - MISCELLANEOUS INCOME | OMB #1545-0115 |
|--------------------------|--|----------------|
| TOTALS FOR TAX YEAR 2008 | | |
| 2. | ROYALTIES | \$0.00 |
| 3. | OTHER INCOME | \$125.00 |
| 4. | FEDERAL INCOME TAX WITHHELD | \$0.00 |
| 8. | SUBSTITUTE PAYMENTS IN LIEU OF DIVIDENDS OR INTEREST | \$427.95 |

MISCELLANEOUS INCOME

| DESCRIPTIONS | DATE | ACTIVITY | AMOUNT | IRS BOX | COUNTRY |
|----------------------------------|----------|--------------------|-----------------|---------|---------|
| AMCAP INC NOTES | MM/DD/YY | OTHER INCOME | \$125.00 | 3 | |
| TOTAL OTHER INCOME | | | \$125.00 | | |
| KEN CORP. | MM/DD/YY | SUBSTITUTE PAYMENT | \$427.95 | 8 | |
| TOTAL SUBSTITUTE PAYMENTS | | | \$427.95 | | |

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| NON-FEDERALLY REPORTED ITEMS TOTALS FOR TAX YEAR 2008 | | |
|--|---|--------------|
| 1. | MARGIN DEBIT INTEREST..... | \$0.00 |
| 2. | LONG TERM OID ON EXEMPT MUNI BONDS | \$28,559.66 |
| 4. | RETURN OF CAPITAL OR PRINCIPAL..... | \$0.00 |
| 5. | LONG TERM OID ON EXEMPT MUNI SUBJECT TO ALT. MIN. TAX | \$0.00 |
| 7. | MISC FEES AND EXPENSES | \$0.00 |
| 8. | PARTNERSHIP (MLP) | \$200.00 |
| 9. | ACCRUED INTEREST PURCHASED | \$0.00 |
| 10. | ACCRUED INTEREST PURCHASED EXEMPT..... | \$0.00 |
| 11. | PURCHASES | \$104,745.74 |
| 12. | OTHER TRANSACTIONS | \$0.00 |
| 13. | INVESTMENT EXPENSES FROM TAX-EXEMPT INCOME | \$0.00 |

Assembly Bar Code

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| DESCRIPTION | DATE | ACTIVITY | QUANTITY | PRICE | AMOUNT |
|--|----------|------------------|----------|----------|--------------------|
| ACALANES CA UN HIGH SCH DIST OAR 5.01% CUSIP: 004284VZ5 | MM/DD/YY | OID ON MUNI | 0.00 | \$0.0000 | \$28,453.69 |
| ABILENE TX 0.0 021512 0%12 CUSIP: 003464JC9 | MM/DD/YY | OID ON MUNI | 0.00 | \$0.0000 | \$105.97 |
| TOTAL LONG TERM OID ON EXEMPT MUNI BONDS | | | | | \$28,559.66 |
| ALLIANCE CAPITAL MGMT HLDG LP CUSIP: 01855A101 | MM/DD/YY | PARTNERSHIP DIST | 0.00 | \$0.0000 | \$200.00 |
| TOTAL PARTNERSHIP (MLP) | | | | | \$200.00 |

THE FOLLOWING IS BEING PROVIDED FOR YOUR INFORMATION ONLY AND WILL NOT BE FURNISHED TO THE INTERNAL REVENUE SERVICE

| DESCRIPTION | DATE | ACTIVITY | QUANTITY | PRICE | AMOUNT |
|---|----------|----------|----------|---------------|---------------------|
| BERKSHIRE HATHAWAY DE A CUSIP: 084670108 | MM/DD/YY | PURCHASE | 1.00 | \$92,500.0000 | \$92,500.00 |
| NEW PERSPECTIVE FD CL A CUSIP: 648018109 | MM/DD/YY | PURCHASE | 511.33 | \$23.9488 | \$12,245.74 |
| TOTAL PURCHASES | | | | | \$104,745.74 |

A form Schedule K-1 should be mailed to you directly from the General Partner, reporting your pro rata share of the partnership's taxable income. Although the partnership generally is not subject to income tax, you are liable for tax on your share of the partnership income, whether or not distributed. Please use the Schedule K-1 to include your share on your tax return. The information on this statement only represents cash distributions you received from the partnership, but is not classified as taxable or dividend income and is instead considered a return of capital.

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