

Courtesy Form

Your Financial Advisor

JOHN DOE
 1234 ANYSTREET
 ANYTOWN USA 12345-1234
 LINE 4 XXXXXXXXXXXX
 LINE 5 XXXXXXXXXXXX
 LINE 6 XXXXXXXXXXXX

STATE LINE 1
 STATE LINE 2
 JOHN REPRESENTATIVE
 9785 TOWNE CENTRE DRIVE
 ADDRESS LINE
 SAN DIEGO, CA 92121-1968
 (858) 450-9606
 ID: 5APA

2008 REALIZED GAINS AND LOSSES

THE FOLLOWING IS BEING PROVIDED FOR YOUR INFORMATION ONLY AND WILL NOT BE FURNISHED TO THE INTERNAL REVENUE SERVICE.

SHORT TERM CAPITAL LOSSES:

<u>DESCRIPTION</u>	<u>QUANTITY</u>	<u>DATE ACQUIRED</u>	<u>DATE SOLD</u>	<u>SOLD VALUE</u>	<u>PURCHASE COST</u>	<u>ADJUSTED COST</u>	<u>GAIN OR LOSS</u>
DELL INC	300.000	10/09/07	03/06/08	6,791.78	7,096.00	7,096.00	(304.22)
MORGAN STNLY INTL EQTY A	65.445	03/08/07	01/24/08	1,363.09	1,401.50	1,401.50	(38.41)
COVANCE INC	100.000	09/27/07	01/23/08	6,123.95	6,769.65	6,769.65	(645.70)
CALL VNL JAN 20	(6.000)	01/16/08	06/14/07	479.98	1,290.00	1,290.00	(810.02)
UST NOTE 4.75 051514	9,000.000	09/28/07	01/30/08	8,934.61	9,079.46	9,079.46	(144.85)
GNMA 189340 9.0 051517	25,000.000	09/14/07	06/05/08	381.88	499.19	496.83	(114.95)
GNMA 780560 6.5 051524	250,000.000	12/19/07	06/19/08	17,737.49	20,603.30	18,775.95	(1,038.46)
BEAZER HOME 8.125 061516	5,000.000	12/18/07	04/12/08	4,750.50	5,312.50	5,312.50	(562.00)
SUBTOTAL SHORT TERM CAPITAL LOSSES				46,563.28	52,051.60	50,221.89	(3,658.61)

SHORT TERM CAPITAL GAINS:

<u>DESCRIPTION</u>	<u>QUANTITY</u>	<u>DATE ACQUIRED</u>	<u>DATE SOLD</u>	<u>SOLD VALUE</u>	<u>PURCHASE COST</u>	<u>ADJUSTED COST</u>	<u>GAIN OR LOSS</u>
BARNES GROUP INC	200.000	06/06/08	09/25/08	6,376.92	6,258.00	6,258.00	118.92
HOTCHKIS LRG CP CALU CL A	7.471	07/18/07	01/29/08	189.91	167.19	167.19	22.72
RS INVT EMERGING GROWTH	37.538	N/A	01/05/08	1,308.26	N/A	N/A	N/A
RYDEX SR TR OTC FD ADVR	348.432	N/A	02/27/08	3,872.55	N/A	N/A	N/A
PETROCHINA COMPANY ADR	200.000	12/18/07	10/18/08	49,601.04	26,582.00	26,582.00	23,019.04
E*TRADE FINL CORP	42.000	06/13/07	04/19/08	893.32	850.50	850.50	42.82
SHINHAN FINL GRP ADR	1.000	03/05/07	07/31/08	133.63	108.22	108.22	25.41
GECC CP 0.0 020107	4,053,000.000	11/02/07	02/01/08	4,053,000.00	4,000,316.00	4,053,000.00	0.00
GECC CP 0.0 050707	1,519,000.000	02/06/08	05/07/08	1,519,000.00	1,499,222.05	1,519,000.00	0.00
UST BILL 0.0 051007	1,601,000.000	02/06/08	05/10/08	1,601,000.00	1,580,564.64	1,601,000.00	0.00
SUBTOTAL SHORT TERM CAPITAL GAINS				7,235,375.63	7,114,068.60	7,206,965.91	23,228.91

NET SHORT TERM CAPITAL GAINS/LOSSES **7,281,938.91** **7,166,120.20** **7,257,187.80** **19,570.30**

LONG TERM CAPITAL LOSSES:

<u>DESCRIPTION</u>	<u>QUANTITY</u>	<u>DATE ACQUIRED</u>	<u>DATE SOLD</u>	<u>SOLD VALUE</u>	<u>PURCHASE COST</u>	<u>ADJUSTED COST</u>	<u>GAIN OR LOSS</u>
CLIPPER FUND INC	25.028	01/26/04	04/02/08	2,250.00	2,266.29	2,266.29	(16.29)
EATON VANCE FLTG RT CL A	19,588.638	01/24/06	07/26/08	196,077.24	200,004.39	200,004.39	(3,927.15)
PIONEER HGH YLD FD CL A	4.701	11/02/04	04/24/08	53.21	55.14	55.14	(1.93)
PIONEER HGH YLD FD CL A	28.722	12/01/04	04/24/08	325.10	330.88	330.88	(5.78)
XM SATELLITE RADIO HLD A	100.000	09/12/03	06/22/08	1,055.98	1,646.89	1,646.89	(590.91)
RENAISSANCERE A 8.1% PFD	800.000	11/09/05	01/16/08	20,000.00	20,360.00	20,360.00	(360.00)
GNMA 091779 11.0 031510	60,000.000	02/25/02	06/15/08	0.00	3,263.17	83.00	(83.00)
GNMA 04-39LH 5.5 092033	50,000.000	04/25/05	10/25/08	47,950.00	50,630.00	50,630.00	(2,680.00)
UST NT INFLT 3.375 011507	19,000.000	09/20/05	01/16/08	24,184.15	24,263.39	25,054.17	(870.02)
SUBTOTAL LONG TERM CAPITAL LOSSES				291,895.68	302,820.15	300,430.76	(8,535.08)

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LONG TERM CAPITAL GAINS:

DESCRIPTION	QUANTITY	DATE		SOLD VALUE	PURCHASE COST	ADJUSTED COST	GAIN OR LOSS
		ACQUIRED	SOLD				
AIM INVT MUNI BD FD CL B	2.326	08/04/03	02/02/08	18.74	18.56	18.56	0.18
BERKSHIRE HATHAWAY CL B	2.000	02/28/06	06/28/08	7,151.89	5,810.00	5,810.00	1,341.89
DOMINION RES INC CA NEW	10.000	12/27/05	07/18/08	877.98	781.80	781.80	96.18
DOVER CORP COMMON	40.000	04/19/05	07/23/08	2,162.03	1,457.59	1,457.59	704.44
INTUIT INC	43.000	11/08/04	07/19/08	1,274.61	936.90	936.90	337.71
OSI RESTAURANT PARTNERS	3,668.000	06/27/91	05/14/08	147,441.80	3,964.38	3,964.38	143,477.42
STANDARD&POORS DEP RCPTS	7.000	09/04/02	06/22/08	1,054.48	622.37	622.37	432.11
SAN JOSE CA 5.0 080131	25,000.000	09/29/00	10/25/08	25,421.75	24,070.89	24,070.89	1,350.86
UST NOTE 2.75 081507	163,000.000	07/05/05	08/15/08	163,000.00	159,660.33	159,660.33	3,339.67
GNMA 04-19AC 5.25 032034	5,000.000	01/26/06	06/08/08	2,845.56	4,515.77	2,791.94	53.62
SUBTOTAL LONG TERM CAPITAL GAINS				351,248.84	201,838.59	200,114.76	151,134.08
NET LONG TERM CAPITAL GAINS/LOSSES				643,144.52	504,658.74	500,545.52	142,599.00
NET CAPITAL GAINS/LOSSES				7,925,083.43	7,670,778.94	7,757,733.32	162,169.30

Glossary:

Date Acquired = The date that the security was purchased at LPL Financial. For securities that were received from transfer this could be the purchase date provided by the former financial institution or by you. If original cost basis is unknown N/A is displayed.

Date Sold = The date that the security was sold, matured, exercised, or redeemed.

Sold Value = Amount of proceeds received from sale or redemption less interest, fees or commissions. For securities closed through taxable merger this amount is the combined amount of cash received plus the fair market value of the new security received.

Purchase Cost = Original cost including fees, commissions, and less accrued interest of the quantity sold or redeemed. For securities received from transfer, this could be the purchase amount provided by the former financial institution or by you. Purchase cost may be adjusted to reflect capital changes resulting from corporate action events such as stock split, merger, spin-off, etc. N/A displays when investment information is incomplete and is treated as zero when calculating purchase cost totals.

Adjusted Cost = Adjusted cost of the quantity sold, matured, exercised, or redeemed. Cost basis is adjusted for Return of Principal, Original Issue Discount accrual, and Partnership Distributions on CMO, CDO, REMIC, and MLP. Transactions on the Realized Gains and Losses Statement have **not** been adjusted for bond amortization, return of capital, liquidating distributions, wash sales and/or similar items. N/A displays when investment information is incomplete and is treated as zero when calculating adjusted cost totals.

Gain or Loss = The realized gain or loss. If original cost basis is unknown N/A is displayed. Transactions on the Realized Gains and Losses Statement have **not** been adjusted for bond amortization, return of capital, liquidating distributions, wash sales and/or similar items. N/A displays when investment information is incomplete and is treated as zero when calculating Gain or Loss totals.

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2008 REALIZED GAINS AND LOSSES

Disclosure:

The Realized Gains and Losses attachment to your 1099 has been prepared by LPL Financial for informational purposes only and does not replace the official 1099s and statements you should receive directly from LPL Financial. This Realized Gains and Losses Statement has been prepared from data believed to be reliable but no representation is being made as to its accuracy or completeness, and may require additional adjustments to calculate your actual gains/losses. The information contained in this report is estimated and should not be relied upon for tax reporting purposes. The source of all price information is one or more third party vendors.

For assets not purchased in the LPL Financial account, you or the previous broker/dealer upon transfer may have provided the Date Acquired and Purchase Cost of the position. If no such data was submitted, N/A is listed as the Purchase Cost. N/A displays when investment information is incomplete and is treated as zero when calculating Gain or Loss totals. For any assets purchased within the account, the Purchase Cost is the actual purchase price including transaction charges and corporate actions. Since the Date Acquired and the Purchase Cost on certain securities may have been provided by another source, this information may not reflect accurate data or correspond to data on your trade confirmations.

Transactions are automatically paired against holdings on a "First-In/First Out" basis (unless manually adjusted). Designating liquidations as "versus payment" date on a trade confirmation will not automatically adjust the cost basis information on this summary.

Past performance is no guarantee of future results.

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