



Member FINRA/SIPC  
 9785 Towne Centre Drive, San Diego, CA 92121-1968  
 One Beacon Street, 22nd Floor, Boston, MA 02108-3106  
 (858) 587-5352

**Trade Confirmation**

**Account Number:**  
**1234-5678**

**For the Account of:**

BOB SMITH  
 123 MAIN STREET  
 ANYTOWN, CA 92000

**Your Financial Consultant:**

JOHN JONES  
 456 MAIN AVE  
 ANYCITY, CA 92000



**YOU BOUGHT**

QUANTITY	SYMBOL	CUSIP
103.734	BAX 090322 C 45.00	BAX-CI
SECURITY DESCRIPTION		YIELD
BAXTER INTERNATIONAL CORP		

ACCOUNT TYPE	TRANSACTION TYPE	TRADE DATE	ENTRY DATE	SETTLEMENT DATE
1	01	05/07/2009	05/07/2009	05/12/2009

PRICE	PRINCIPAL	COMMISSION	INTEREST	SERVICE CHARGE	SALES CHARGE RATE	SETTLEMENT FEE	NET AMOUNT
14.46	1,500.00	0.00	0.00	5.00	5.740%	0.00	\$1,505.00

**Additional Information:**

SOLICITED  
 NONDISCRETIONARY TRADE

THIS CONFIRMATION IS AN ADVICE, NOT AN INVOICE.  
 REMITTANCE OR SECURITIES ARE DUE ON OR BEFORE SETTLEMENT DATE.

SEE REVERSE SIDE FOR TERMS AND CONDITIONS AND EXPLANATION OF CODED SYMBOLS RELATING TO THIS CONFIRMATION.